

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER: NE+99P237A U.S. APPLN. NO. (if known) see 37 <div style="font-size: 24pt; font-weight: bold; text-align: center;">097830016</div>
INTERNATIONAL APPLICATION NO.: PCT/JP99/05787	INTERNATIONAL FILING DATE: 20 OCTOBER 1999	PRIORITY DATE CLAIMED: 21 OCTOBER 1998
TITLE OF INVENTION: FLAME RETARDANT EPOXY RESIN COMPOSITION AND SEMICONDUCTOR DEVICE USING THE SAME		
APPLICANT(S) FOR DO/EO/US: Yukihiro KIUCHI, Masatoshi IJI, Katsushi TERAJIMA, Isao KATAYAMA, Yasuo MATSUI, Ken OOTA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 1. <input checked="" type="checkbox"/> </div>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 2. <input type="checkbox"/> </div>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 3. <input checked="" type="checkbox"/> </div>	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 4. <input checked="" type="checkbox"/> </div>	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 5. <input checked="" type="checkbox"/> </div>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 6. <input checked="" type="checkbox"/> </div>	a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 7. <input checked="" type="checkbox"/> </div>	b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. (see attached copy of PCT/IB/308)	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 8. <input type="checkbox"/> </div>	c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 9. <input type="checkbox"/> </div>	A translation of the International Application into English (35 U.S.C. 371(c)(2)).	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 10. <input type="checkbox"/> </div>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 11. <input type="checkbox"/> </div>	a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 12. <input type="checkbox"/> </div>	b. <input type="checkbox"/> have been transmitted by the International Bureau.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 13. <input type="checkbox"/> </div>	c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 14. <input type="checkbox"/> </div>	d. <input type="checkbox"/> have not been made and will not be made.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 15. <input type="checkbox"/> </div>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 16. <input checked="" type="checkbox"/> </div>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 17. <input checked="" type="checkbox"/> </div>	A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Item 11. to 16. below concern document(s) or information included:		
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 11. <input checked="" type="checkbox"/> </div>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 12. <input checked="" type="checkbox"/> </div>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 13. <input checked="" type="checkbox"/> </div>	A FIRST preliminary amendment.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 14. <input type="checkbox"/> </div>	A SECOND or SUBSEQUENT preliminary amendment.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 15. <input type="checkbox"/> </div>	A substitute specification.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 16. <input type="checkbox"/> </div>	A change of power of attorney and/or address letter.	
<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;"> 17. <input checked="" type="checkbox"/> </div>	Other items or information:	

International Search Report
 PCT/IB/304
 PCT/IB/308
 PCT/IPEA/409
 Application Data Sheet

U.S. APPLICATION NO. (if known) See 37 CFR 1.53

09/830016

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NE + 99P237A

- 17.
- ☒
- The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1,000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). \$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	13 - 20 =	0	X \$18.00	\$
Independent claims	4 - 3 =	1	X \$80.00	\$ 80.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$270.00	\$

TOTAL OF ABOVE CALCULATIONS = \$ 940.00

Reduction of 1/2 for filing by small entity, if applicable. Applicant claims Small Entity Status under 37 CFR 1.27. + \$

SUBTOTAL = \$ 940.00

Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.49(f)). \$

TOTAL NATIONAL FEE = \$ 940.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. \$ 40.00

TOTAL FEES ENCLOSED = \$ 980.00

Amount to be
refunded:

charged:

- a. ☒ A check in the amount of \$ 980.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. **25-0120** in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. **25-0120**. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

Customer No. 000466

YOUNG & THOMPSON
745 South 23rd Street
2nd Floor
Arlington, VA 22202
(703) 521-2297 facsimile (703) 685-0573

April 20, 2001

By

Benoît Castel
Benoît Castel
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